

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 30, 2001

ATTY DOCKET NO.
2001-1079SERIAL NO.
NEWAPPLICANT
Yutaka WADA et al.FILING DATE
July 30, 2001

GROUP

U.S. PTO
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	5,976,204	11/1999	Hammarstrom et al.			
	AB	6,220,944B1	4/2001	Chen			
	AC	5,885,134	3/1999	Shibata et al.			
	AD	6,123,603	9/2000	Tada et al.			
	AE	6,099,393	8/2000	Katagiri et al.			
	AF	5,993,298	11/1999	Duescher			
	AG	5,690,705	11/1997	Holmes et al.			
	AH	5,307,593	5/1994	Lucker et al.			
	AI	4,010,583	3/1977	Highberg			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	AJ	56-52183 ✓	5/1981	JP				X
	AK	9-47969 ✓	2/1997	JP				X
	AL	10-329031 ✓	12/1998	JP				X
	AM	10-134316 ✓	5/1998	JP				X
SLM	AN	5-4171 ✓	1/1993	JP				X

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

SLM	AO	U.S. Patent Application 09/301,718, filed April 29, 1999, Noburu SHIMIZU et al., "METHOD AND APPARATUS FOR POLISHING WORKPIECE".
	AP	
	AQ	

EXAMINER

Shantese McDonale

DATE CONSIDERED

4/20/03

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	5,658,194	8/1997	Micheletti			
	AB	6,099,394	8/2000	James et al.			
	AC	6,121,143	9/2000	Messner et al.			
	AD	5,135,892	8/1992	Ellison-Hayashi et al.			
	AE	5,897,426	4/1999	Somekh			
	AF	3,841,031	10/1974	Walsh			
	AG	5,972,162	10/1999	Cesna			
	AH	4,445,300	5/1984	Sekiya et al.			
	AI	5,503,592	5/1996	Neumann			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	11-198045	7/1999	JP			X
	AK	9-232257	9/1997	JP			abstract
	AL	4-354676	12/1992	JP			abstract
	AM	5-285850	11/1993	JP			abstract
SLM	AN	5-309566	11/1993	JP			abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER Shawnee McDonald

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	6,050,880	4/2000	Kato et al.			
	AB	6,074,277	6/2000	Arai			
	AC	6,152,806	11/2000	Nystrom			
SLM	AD	6,062,958	5/2000	Wright et al.			
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
SLM	AJ	1-140967	6/1989	JP			abstract	
	AK	58-143948	8/1983	JP			abstract	
SLM	AL	0123456	1/2000	EP				
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER Shantee NelsonDATE CONSIDERED 4/20/03

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PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
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Date Submitted to PTO: August 27, 2001

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09/916,305APPLICANT
Yutaka WADA et al.FILING DATE
July 30, 2001GROUP
3723

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
<i>O I P E</i> <i>SC 15B</i> <i>AUG 27 2001</i> <i>PATENT & TRADEMARK OFFICE</i>	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
SLM	AJ	56-52183	5/1981	JP			X	
	AK	9-47969	2/1997	JP			X	
	AL	10-329031	12/1998	JP			X	
	AM	10-134316	5/1998	JP			X	
	AN	5-4171	1/1993	JP			X	
	AO	11-198045	7/1999	JP			X	
SLA	AP	9-174428 ✓	7/1997	JP			X	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER *Shantee McDaniel*

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
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Date Submitted to PTO: December 10, 2001

ATTY DOCKET NO.
2001-1079SERIAL NO.
09/916,305APPLICANT
Yutaka WADA et al.FILING DATE
July 30, 2001GROUP
3723

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
OXPE S2K SC	AA	6,180,020	1/2001	Moriyama et al.			
DEC 10 2001 PATENT & TRADEMARK OFFICE	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	AJ							
	AK							
	AL							
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

SLM	AO	U.S. Patent Application Serial No. 09/560,562, filed April 28, 2000, (Kazuto HIROKAWA et al.).
	AP	
	AQ	

EXAMINER *Shantese Neumann*DATE CONSIDERED *4/20/03*

Sheet 1 of 1

FORM PTO 1449 (modified)

ATTY DOCKET NO.
2001-1079SERIAL NO.
09/918,305U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEAPPLICANT
Yutaka WADA et al.LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: April 11, 2002

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July 30, 2001GROUP
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	5,782,675	1/1998	Southwick			
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	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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	AL						
	AM						
	AN						

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	AP	
	AQ	

EXAMINER Shantae McDonaldDATE CONSIDERED 4/12/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.